

REGULATIONS GOVERNING THE MANAGEMENT OF THE REVIEW, REGISTRATION AND ISSUANCE OF PERMIT DOCUMENTS FOR FOOD AND RELATED PRODUCTS

Chapter I. General Provisions

Article 1

The Regulations are enacted pursuant to the provisions of Article 21 Paragraph 5 of the Act Governing Food Safety and Sanitation (hereafter referred to as “the Act”).

Article 2

The term “review and registration” herein stated refer to the process of examination, test, and registration and the issuance of permit documents.

The registration referred in the preceding paragraph shall include the following information based on the product classification and characteristics:

1. Product name in Chinese and foreign language,
2. Ingredients,
3. Packaging,
4. Name and address of the manufacturer,
5. Name and address of the applicant,
6. Validity period of the permit document,
7. Other registration information designated by the central competent authority.

Article 3

Unless otherwise provided by these Regulations, a food business operator applying for review and registration with the central competent authority shall complete an application form, pay the due examination, test, and certificate fees, and attach following documents or information to the application form based on the product classification and characteristics:

1. Table of ingredient content, specifications, test method, certificate of analysis, nutrients analysis report, and manufacturing process summary;
2. Complete technical information;
3. Labels, packaging, Chinese labeling, user instruction, sample, and photo of the actual product;

4. An application filed for imported product review and registration shall be attached with the official substantiating documents certifying that the original manufacturer is the legitimate manufacturer; if the substantiating document verifying the legitimacy of the original manufacturer is a copy of the original, the document shall be a certified true copy of the original by a notary public in the country of origin;
5. A contract manufacturer is required to provide the original copy of the manufacturing contract.
6. Photocopy of the applicant's company registration or business registration certificate;
7. Other essential documents.

The foregoing documents or information in languages other than English and Chinese must be accompanied with English or Chinese translations provided by a registered translation agency.

For applications in the first paragraph, the food business operator shall file applications at the online application platform of the Food and Drug Administration of the Ministry of Health and Welfare (hereinafter referred to as the online platform), with the documents and attachments scanned and uploaded and the original copies retained with the applicant.

Article 4

Applicant applying for review and registration shall claim the permit document within two months after the central competent authority approves the application through examination procedure and issues a notification letter advising the permit document issuance. Failure of applicant to claim the permit document within the deadline shall constitute the abandonment of application; whereupon, the central competent authority is entitled to process the nullification of the issued permit document.

Article 5

Unless otherwise provided by these Regulations, permit documents issued on applications filed pursuant to the provisions of Article 21 Paragraphs 1 and 2 of the Act shall have a validity period ranging from one to five years subject to the announcement made by the central competent authority based on the product classification and characteristics.

Where an extension shall be required, a pertinent application form complete with the permit document and the documents or information herein prescribed in Article 3

shall be filed with the central competent authority for extension approbation within three months before expiration. The applicant shall pay the examination fee when filing an extension application. The maximum validity period of the extended permit document shall be five years. Where issuance of a new certificate shall be necessary, a certificate processing fee shall be collected.

Article 6

Unless otherwise provided by these Regulations, in the event of changes in the registered information of the permit document, an application form complete with the permit document and the documents or information herein prescribed in Article 3 shall be filed with the central competent authority for amendment of registration records. The applicant shall pay the examination fee when filing an application. Where issuance of a new certificate shall be necessary, a certificate processing fee shall be collected.

Article 7

Unless otherwise provided by these Regulations, in the event of permit document ownership transfer, an application form complete with the documents or information herein prescribed in Article 3 shall be filed with the central competent authority for the transfer registration and an examination fee shall be collected. Additionally, if the permit document is a certificate, a certificate processing fee shall be collected.

Article 8

Unless otherwise provided by these Regulations, in the event of the defacement or loss of a permit document, an application form and the documents or information herein prescribed in Article 3 shall be filed with the central competent authority for replacement or re-issuance. The applicant shall pay the examination fee and certificate processing fee. Where application is filed due to defacement, the original permit document shall be surrendered for destruction.

The new permit document issued under the replacement or re-issuance application as referred in the preceding paragraph shall bear the same expiration date as the original permit document.

Article 9

For products that have already obtained permit documents, if the manufacture or importation of a product is officially banned under the Act, the original permit document issued for which shall be nullified.

Article 10

A food business operator applying for the cancellation of its permit document shall submit the application form complete with the permit document and related documents or information to the central competent authority for annulment. Upon due approval, the central competent authority shall issue an official announcement declaring the permit document null and void.

Article 11

A food business operator applying for the review and registration and the permit document replacement, re-issuance, extension, transfer, or cancellation, or amendment of registered information shall process the required procedure within two months after receiving the official notice of document submission for test or supplementary information from the central competent authority. Where circumstances shall require, the food business operator may apply for a one-month extension period. Failure to submit documents within the prescribed deadline is subject to rejection with no further notice.

Article 12

Regarding the review and registration matters defined in the Regulations, the application form format, information to be contained in the application form, documents or information to be attached to the application form, and the official permit document format are subject to the discretion of the central competent authority.

Chapter II. Review and Registration of Infant Formula and Follow-up Infant Formula

Article 13

Applicants for review and registration of infant formula and follow-up infant formula shall submit the application form, along with the following documents and information as well as product samples, to the central competent authority, and pay the related fees:

1. Original table of ingredient content: The table shall be issued by the original manufacturer and dated within the past one year and contain the detailed name and net quantity of contents of all the raw materials and food additive.
2. Original product specification: The product specification shall be issued by the original manufacturer and dated within the past one year and contain the hygiene

and nutrients specification of the product. Its energy and nutrients shall meet the specifications set out in Schedule 1; if it contains nutrients other than those in Schedule 1, scientific evidence or other references shall be provided for the nutrients.

3. Original hygiene and nutrients analysis report: The report shall be the original issued by the original manufacturer or a food testing institution accredited by the central competent authority and dated within the past one year.
4. The applicant is required to submit the original substantiating document verifying the product is for sale in a market outside the territory of Taiwan and a product sample, or a trial report of the product with a valid sample size of more than 20 subjects.
5. Manufacturing process summary.
6. Original official certificate verifying the legitimacy of the original manufacturer.
 - (1) Domestic manufacturer: Carbon copy of the factory registration certificate.
 - (2) Foreign manufacturer: The original official certificate issued by a competent government agency responsible for product hygiene and safety or factory licensing in the country of origin and dated within the past two years, shall be provided. The certificate shall specify the name and address of the manufacturer, its business activities, categories of products, hygienic status of the factory, and full name of the government agency issuing the certificate with official stamp or authorized signature. If the certificate verifying the legitimacy of the original manufacturer is a copy of the original, the document shall be a certified true copy of the original by a notary public in the country of origin.
7. In cases of authorized sales, the original certificate of authorized sales issued by the original manufacturer or distributor is required.
8. In cases of entrusted manufacturing, the original certificate of entrusted manufacturing issued by the entrusted manufacturer is required.
9. Two copies each of the physical or color drafts of the Chinese product label, outer box packaging and user instruction; for those who apply for different packaging specifications, forms, and materials, the above items shall be attached separately; if the contents of the user instruction are the same, the user instruction of any specification form, and materials may be submitted.
10. The applicant shall submit a carbon copy of its company registration or business registration certificate.

11. Where the complete sample is available to the market in different packaging specifications, forms or materials, the applicant is required to submit one sample for each option.
12. Where the product is intended to be re-packed in new containers for sale in Taiwan, the applicant shall submit the following documents:
 - (1) The manufacturer's original re-packing certificate or the original document of consent to product re-packing for imported products.
 - (2) The domestic re-packing facility's factory registration certificate in carbon copy. Food re-packing, processing or manufacturing shall be listed in the scope of business stated on the factory registration certificate.
 - (3) The original copy of the hygiene and nutrition analysis report on the re-packed sample issued within the past one year by the original manufacturer or a food testing institution accredited by the central competent authority.
 - (4) Two copies each of the physical or color drafts of the Chinese label of the re-packed product, outer box packaging and user instruction; for those who apply for different packaging specifications, forms, and materials, the above items shall be attached separately; if the contents of the user instruction are the same, the user instruction of any specification, form, and materials may be submitted.
 - (5) Where the re-packed product sample is available in different packaging specifications, forms and materials, the applicant is required to submit one sample for each option.
13. Other essential documents.

The foregoing documents or information in languages other than English and Chinese must be accompanied with English or Chinese translations provided by a registered translation agency.

The permit documents issued on the applications specified in Paragraph 1 and filed and reviewed pursuant to the Regulations shall be valid for five years.

Article 14

Applicants seeking to extend a permit document for infant formula and follow-up infant formula shall submit an application form within three months prior to the expiry date of permit, along with the following documents, information and product sample, to the central competent authority, and pay the related fees:

1. Original permit document.

2. The original certificate or document of consent to renewal of the product manufacturing agreement, or original table of ingredient content issued by the original manufacturer based on the content of originally issued permit within the past one year.
3. In cases of authorized sales, the original certificate of authorized sales issued by the original manufacturer or distributor is required.
4. In cases of entrusted manufacturing, the original certificate of entrusted manufacturing issued by the entrusted manufacturer is required.
5. Two copies each of the physical or color drafts of the Chinese product label, outer box packaging and user instruction; for those who apply for different packaging specifications, forms, and materials, the above items shall be attached separately; if the contents of the user instruction are the same, the user instruction of any specification, form, and materials may be submitted.
6. Description of product usage: The report issued or signed by the original manufacturer describing the situations of domestic and overseas consumer usage of the product in the last five years, and the content of which shall at least include the sales situations, adverse reactions reported domestically and overseas, and the latest results of inspection imposed on the original manufacturer by the competent authorities; in case the above is not provided within one year after the amendment and promulgation of the Regulations on _____, 20__, the original substantiating document verifying the product is for sale in a market outside the territory of Taiwan and a product sample, or a trial report of the product with a valid sample size of more than 20 subjects can be used as substitute to meet the requirement.
7. Original hygiene and nutrients specification issued by the original manufacturer within the past one year.
8. Original hygiene and nutrients analysis report of the latest batch of production issued by the original manufacturer or a food testing institution accredited by the central competent authority.

The foregoing documents or information in languages other than English and Chinese must be accompanied with English or Chinese translations provided by a registered translation agency.

The permit documents issued on the extension applications specified in Paragraph 1 and filed and reviewed pursuant to the Regulations shall be valid for five years. If it is necessary to re-issue a new permit document, a certificate processing fee shall be collected.

Article 15

Applicants seeking to modify the infant formula and follow-up infant formula review and registration shall submit an application form, along with the following documents or information, to the central competent authority, and pay the related fees:

1. Original permit document.
2. Two copies each of the physical or color drafts of the Chinese product label, outer box packaging and user instruction when changes are involved; for those who apply for different packaging specifications, forms, and materials, the above items shall be attached separately; if the contents of the user instruction are the same, the user instruction of any specification, form, and materials may be submitted.

In addition to the foregoing requirements, the following documents, information or sample shall be additionally submitted according to the application of modification:

1. Product name change: The certificate or document of consent to change of the product name issued by the original manufacturer for imported products.
2. Change of name, address or person-in-charge of the manufacturer holding the permit document:
 - (1) Photocopy of company registration or business registration certificate of the manufacturer holding the permit document.
 - (2) A complete list of product items shown on the permit document held by the manufacturer. The list is required to contain the registration numbers, Chinese names of the product items and expiry date of the permit document.
3. Change of the original manufacturer's name:
 - (1) Domestic manufacturer: Carbon copy of the factory registration certificate.
 - (2) Foreign manufacturer: The original official certificate issued by a competent government agency responsible for product hygiene and safety or factory licensing in the country of origin and dated within the past two years, shall be provided. The certificate shall specify the name and address of the manufacturer, its business activities, categories of products, hygienic status of the factory, and full name of the government agency issuing the certificate with official stamp or authorized signature. If the certificate verifying the legitimacy of the original manufacturer is a copy of the original, the document shall be a certified true copy of the original by a notary public in the country of origin.

- (3) A complete list of product items of the original manufacturer shall be provided. The list is required to contain the registration numbers, Chinese names of product items, and expiry date of the permit document.
4. Address building number adjustment of the original manufacturer's address:
 - (1) In cases of domestic manufacturers: The applicant shall submit a photocopy of the official certificate issued by a competent government agency certifying the address building number adjustment.
 - (2) In cases of foreign manufacturers: The original official certificate issued by a competent government agency certifying the address building number adjustment, whereas the certificate submitted is a photocopy, the document shall be notarized as a true copy of the original by a notary public in the country of origin.
 - (3) A complete list of product items of the original manufacturer shall be provided. The list is required to contain the registration numbers, Chinese names of the product items, and expiry dates of the permit document.
5. Change of the product specifications (with no changes of the product ingredients and content):
 - (1) The evaluation report on the rationale of changes issued by the original manufacturer within the past one year (including a chart of comparison of the content before and after the changes).
 - (2) The documents set forth in Article 13, Paragraph 1 Subparagraphs 1 to 3.
6. Modification to the packaging specification, form and material:
 - (1) For imported product items, the original manufacturer's certificate or document of consent to the change of packaging in original copy shall be submitted.
 - (2) Product samples are required if changes involve product forms or materials.
 - (3) Where the product will be re-packed in new containers for sale, the applicant shall submit the documents and sample set forth in Article 13 Paragraph 1 Subparagraph 12 of the Regulations.
7. Change of Chinese label, box packaging, and user instruction of the product:
 - (1) A chart of comparison of the content before and after the changes.

- (2) For imported product items, the original manufacturer's certificate or document of consent to the change of Chinese label, box packaging, and user instruction in original copy shall be submitted.
- (3) For modification to the nutrition facts label (with no changes of the product ingredients and content):
 - A. The evaluation report on the rationale of changes issued by the original manufacturer within the past one year, and the original copy of the nutrition analysis report issued by the original manufacturer or a food testing institution accredited by the central competent authority within the past one year.
 - B. The documents set forth in Article 13, Paragraph 1 Subparagraphs 1 to 2.

The foregoing documents or information in languages other than English and Chinese must be accompanied with English or Chinese translations provided by a registered translation agency.

In cases of change, moving, or adding of the manufacturer, the application process, documents and information, product samples, and related fees shall *mutatis mutandis* to the provisions of Article 13.

If it is necessary to re-issue a new permit document, a certificate processing fee shall be collected.

Article 16

Applications for the change of Chinese label, box packaging, and user instruction of the product are exempted, if only the following situations are involved:

1. Change of patterns or colors.
2. Proportionate reduction or enlargement of the approved images and texts.
3. Movement of the position of the approved images and texts.
4. Change of the fonts of the approved text.
5. Changes of marks not governed by the Regulations.

For the above modifications, the holder of the permit document shall retain a written record.

Article 17

Where an application for ownership transfer of a registered permit document for infant formula and follow-up infant formula is filed, the transferee is required to

submit an application form, along with the following documents or information, to the central competent authority for review, and pay the examination and certificate processing fees:

1. Transferor's certificate or document of consent to transfer of permit document ownership in original copy.
2. In cases of authorized sales, the original certificate of authorized sales issued by the original manufacturer or distributor is required.
3. In cases of entrusted manufacturing, the original certificate of entrusted manufacturing issued by the entrusted manufacturer is required.
4. Original permit document.
5. Two copies each of the physical or color drafts of the Chinese product label, outer box packaging and user instruction; for those who apply for different packaging specifications, forms, and materials, the above items shall be attached separately; if the contents of the user instruction are the same, the user instruction of any specification, form, and materials may be submitted.
6. Where the product will be re-packed in new containers for sale, the applicant shall submit the documents set forth in Article 13 Paragraph 1 Subparagraph 12 Items 1-4 of the Regulations.
7. Photocopy of the table of ingredient content.
8. Photocopy of the applicant's company registration or business registration certificate.

The foregoing documents or information in languages other than English and Chinese must be accompanied with English or Chinese translations provided by a registered translation agency.

Article 18

For applications to re-issue or replace the permit document for infant formula and follow-up infant formula review and registration due to defacement or loss, the applicant is required to submit an application form, along with the following documents or information, to the central competent authority, and pay the related fees:

1. The applicant shall submit the photocopy of the applicant's company registration or business registration certificate.

2. For replacement application, the applicant shall submit the originally issued permit document.
3. For re-issuance application, the applicant shall submit a statement that declares the original permit document null and void.

The new permit document issued under the replacement or re-issuance application as referred in the preceding paragraph shall bear the same expiration date as the original permit document.

Chapter III. Review and Registration of Formula for Certain Disease

Article 19

Formula for certain disease referred to in this chapter is intended for the dietary management of patients who have impaired capacity to ingest, digest, absorb or metabolize ordinary foods or certain nutrients, or who, for any medically-proven reason, have special dietary requirements that cannot be easily met with re-balancing daily diet. The food products in this category are processed or formulated according to the needs of the target users.

The scope of formula for certain disease as referred in the preceding paragraph is as follows:

1. Nutritionally complete food:
 - (1) Nutritionally complete food with balanced formula that may be used as the single source of nutrients: The products in this category are designed to maintain caloric and nutritional sustenance of patients. The various nutrients contained in the product shall comply with the regulations provided in the Schedule 2, except for formulae for patient children aged one to eighteen.
 - (2) Nutritionally complete food with customized formula that may be used as the single source of nutrients:

The products in this category are designed to maintain caloric and nutritional sustenance of patients by delivering complete nutrition with added or reduced nutrients to meet the patients' specific needs. The nutrient addition or reduction shall have scientific evidence base. The other nutrients shall *mutatis mutandis* to the regulations provided in Schedule 2.
2. Nutrition supplement formula food:
 - (1) Nutrition adjusted supplementary formula food that cannot be used as the single source of nutrients: The products in this category are designed to be

used as part of nutritional supplement for patients of specific nutritional needs and meet the following requirements:

- A. The specific nutrients to be supplemented shall have scientific evidence base. For the non-customized nutrition portion of the product, every serving size (100 Kcal) shall reach the lower limit as specified in Schedule 2. The recommended daily nutrition allowance for the product shall not exceed the maximum allowance specified in the Standards for Specification, Scope, Application and Limitation of Food Additive.
 - B. Where the non-customized nutrition portion of the product under application per 100 Kcal does not reach the lower limit as specified in Schedule 2, a domestic clinical study on the product is required to prove that the users of such product will be able to meet the nutritional requirements by following the recommended diet.
- (2) Special modular formula food that cannot be used as the single source of nutrients: The products in this category are designed to deliver a specific nutrient or ingredient to meet the nutritional needs deriving from specific illness or metabolic needs and contain the element meeting the following requirements:
- A. The element shall be a single nutritional additives within the domain of food additives.
 - B. There is scientific evidence that this element is essential for the nutritional needs deriving from specific illness or metabolic needs.

The products as referred in the preceding paragraph may use other food ingredients or additives to meet the flavoring or processing requirements.

Article 20

Applicants for review and registration of formula for certain disease shall submit the application form, along with the following documents and information as well as product samples, to the central competent authority, and pay the related fees:

1. Original table of ingredient content: The table shall be issued by the original manufacturer and dated within the past one year and contain the detailed name and net quantity of contents of all the raw materials and food additive.
2. Original product specification: The product specification shall be issued by the original manufacturer and dated within the past one year and contain the hygiene and nutrients specification of the product.

3. Original hygiene and nutrients analysis report: The report shall be the original issued by the original manufacturer or a food testing institution accredited by the central competent authority and dated within the past one year.
4. Manufacturing process summary.
5. Original official certificate verifying the legitimacy of the original manufacturer:
 - (1) Domestic manufacturer: Carbon copy of the factory registration certificate.
 - (2) Foreign manufacturer: The original official certificate issued by a competent government agency responsible for product hygiene and safety or factory licensing in the country of origin and dated within the past two years, shall be provided. The certificate shall specify the name and address of the manufacturer, its business activities, categories of products, hygienic status of the factory, and full name of the government agency issuing the certificate with official stamp or authorized signature. If the certificate verifying the legitimacy of the original manufacturer is a copy of the original, the document shall be a certified true copy of the original by a notary public in the country of origin.
6. In cases of authorized sales, the original certificate of authorized sales issued by the original manufacturer or distributor is required.
7. In cases of entrusted manufacturing, the original certificate of the entrusted manufacturing issued by the entrusted manufacturer is required.
8. Two copies each of the physical or color drafts of the Chinese product label, outer box packaging and user instruction; for those who apply for different packaging specifications, forms, and materials, the above items shall be attached separately; if the contents of the user instruction are the same, the user instruction of any specification, form, and materials may be submitted.
9. The applicant shall submit a carbon copy of its company registration or business registration certificate.
10. Where the complete sample is available to the market in different packaging specifications, forms or materials, the applicant is required to submit one sample for each option.
11. Where the product is intended to be re-packed in new containers for sale in Taiwan, the applicant shall submit the documents and sample set forth in Article 13 Paragraph 1 Subparagraph 12 of the Regulations.
12. All applicants, except for nutritionally complete food with balanced formula, are required to submit the following:

- (1) Information on the specific nutritional requirement for intended product users that would otherwise not be met without the product due to illness or medical conditions, along with supporting documents.
 - (2) Information on why the intended users cannot otherwise meet the specific nutritional requirement described in the preceding subparagraph from the daily diet, along with supporting documents.
 - (3) The mechanism behind the product design.
 - (4) Information on how the intended use and quantity of intake of the product help the users to achieve the objectives described in subparagraphs (1) and (2) of this paragraph, along with supporting documents.
 - (5) Clinical study: In cases of products with the same nutritional specifications and no influence on safety, but different pigments, flavors or sweeteners, one copy of the report may be attached in application.
13. For high-protein disease-specific formulas, the applicant is required to provide information on the protein efficiency ratio (PER), protein digestibility corrected amino acid score (PDCAAS) or other internationally-recognized protein determination indicators.
14. Other essential documents.

For applications, under the same nutritional specifications and no influence on safety, changing pigments, flavors or sweeteners of approved products, the clinical study in Paragraph 1, Subparagraph 12, Item 5 may be exempted.

The documents or information specified in Paragraph 1 in languages other than English and Chinese must be accompanied with English or Chinese translations provided by a registered translation agency.

The permit document issued on the applications specified in Paragraph 1 and filed and reviewed pursuant to the Regulations shall be valid for five years.

Article 21

The central competent authority may convene an expert review meeting for reviewing the applications filed in accordance with the preceding article, and when necessary, may demand the presence of the applicant for presentations or questioning.

For the convening of the meeting referred to in the preceding paragraph, the central competent authority shall notify the applicant to make payment for the review expenses and to submit necessary documents and information within the designated

time. Failure to make payment, to submit documents and/or information not completed within the designated time may result in the rejection of application with no further notice.

Article 22

Applicants seeking to extend a permit document for formula for certain disease shall submit an application form within three months prior to the expiry date of permit, along with the following documents, information and product sample, to the central competent authority, and pay the related fees:

1. Original permit document.
2. The original certificate or document of consent to renewal of the product manufacturing agreement, or original table of ingredient content issued by the original manufacturer based on the content of originally issued permit within the past one year.
3. In cases of authorized sales, the original certificate of authorized sales issued by the original manufacturer or distributor is required.
4. In cases of entrusted manufacturing, the original certificate of entrusted manufacturing issued by the entrusted manufacturer is required.
5. Two copies each of the physical or color drafts of the Chinese product label, outer box packaging and user instruction; for those who apply for different packaging specifications, forms, and materials, the above items shall be attached separately; if the contents of the user instruction are the same, the user instruction of any specification, form, and materials may be submitted.
6. Original hygiene and nutrients specification issued by the original manufacturer within the past one year.
7. Original hygiene and nutrients analysis report of the latest batch of production issued by the original manufacturer or a food testing institution ~~inspection body~~ accredited by the central competent authority.
8. Clinical study report for the product under application (applications for nutritionally complete food with balanced formula or with attaching clinical study report already is exempted from the requirement).

The foregoing documents or information in languages other than English and Chinese must be accompanied with English or Chinese translations provided by a registered translation agency.

The permit documents issued on the extension applications specified in Paragraph 1 and filed and reviewed pursuant to the Regulations shall be valid for five years. If it is necessary to re-issue a new permit document, a certificate processing fee shall be collected.

For products with a permit document issued prior to the amendment of the Regulations on April 10, 2019, in the event that the product is determined to be non-conforming to the criteria and requirements herein or that the applicant fails to submit a clinical study report for the product, the existing permit may be extended up to December 31, 2022. Applicants who did not apply for an extension before December 31, 2021, shall not apply for another extension.

Article 23

Applicants seeking to modify the formula for certain disease review and registration shall submit an application form, along with the following documents or information, to the central competent authority, and pay the related fees:

1. Original permit document.
2. Two copies each of the physical or color drafts of the Chinese product label, outer box packaging and user instruction when changes are involved; for those who apply for different packaging specifications, forms, and materials, the above items shall be attached separately; if the contents of the user instruction are the same, the user instruction of any specification, form, and materials may be submitted.

In addition to the foregoing requirements, the following documents, information or sample shall be additionally submitted according to the application of modification:

1. Product name change: The certificate or document of consent to change of the product name issued by the original manufacturer for imported products.
2. Change of name, address or person-in-charge of the manufacturer holding the permit document:
 - (1) Photocopy of the company registration or business registration certificate of the manufacturer holding the permit document.
 - (2) A complete list of product items shown on the permit document held by the manufacturer. The list is required to contain the registration numbers, Chinese names of the product items, and expiry date of the permit document.
3. Change of the original manufacturer's name:
 - (1) Domestic manufacturer: Carbon copy of the factory registration certificate.

- (2) Foreign manufacturer: The original official certificate issued by a competent government agency responsible for product hygiene and safety or factory licensing in the country of origin and dated within the past two years, shall be provided. The certificate shall specify the name and address of the manufacturer, its business activities, categories of products, hygienic status of the factory, and full name of the government agency issuing the certificate with official stamp or authorized signature. If the certificate verifying the legitimacy of the original manufacturer is a copy of the original, the document shall be a certified true copy of the original by a notary public in the country of origin.
 - (3) A complete list of product items of the original manufacturer shall be provided. The list is required to contain the registration numbers, Chinese names of the product items, and expiry date of the permit document.
4. Address building number adjustment of the original manufacturer's address:
 - (1) In cases of domestic manufacturers: The applicant shall submit a photocopy of the official certificate issued by a competent government agency certifying the address building number adjustment.
 - (2) In cases of foreign manufacturers: The original official certificate issued by a competent government agency certifying the address building number adjustment, whereas the certificate submitted is a photocopy, the document shall be notarized as a true copy of the original by a notary public in the country of origin.
 - (3) A complete list of product items of the original manufacturer shall be provided. The list is required to contain the registration numbers, Chinese names of the product items, and expiry date of the permit document.
5. Change of the product specifications (with no changes of the product ingredients and content):
 - (1) The evaluation report on the rationale of changes issued by the original manufacturer within the past one year (including a chart of comparison of the content before and after the changes).
 - (2) The documents set forth in Article 20, Paragraph 1, Subparagraphs 1 to 3.
6. Modification to the packaging specification, form and material:
 - (1) For imported product items, the original manufacturer's certificate or document of consent to the change of packaging in original copy shall be submitted.

- (2) Product samples are required if changes involve product forms or materials.
 - (3) Where the product will be re-packed in new containers for sale, the applicant shall submit the documents or sample set forth in Article 13 Paragraph 1 Subparagraph 12 of the Regulations.
7. Change of Chinese label, box packaging, and user instruction of the product:
- (1) A chart of comparison of the content before and after the changes.
 - (2) For imported product items, the original manufacturer's certificate or document of consent to the change of Chinese label, box packaging, and user instruction in original copy shall be submitted.
 - (3) For modification to the nutrition facts label (with no changes of the product ingredients and content):
 - A. The evaluation report on the rationale of changes issued by the original manufacturer within the past one year, and the original copy of the nutrition analysis report issued by the original manufacturer or a food testing institution accredited by the central competent authority within the past one year.
 - B. The documents set forth in Article 20, Paragraph 1, Subparagraphs 1 to 2.

The foregoing documents or information in languages other than English and Chinese must be accompanied with English or Chinese translations provided by a registered translation agency.

In cases of change, moving, or adding of the manufacturer, the application process, documents and information, product samples, and related fees, except that the clinical study under Article 20, Paragraph 1, Subparagraph 12, Item 5 is exempted, shall *mutatis mutandis* to the provisions of Article 19.

If it is necessary to re-issue a new permit document, a certificate processing fee shall be collected.

Article 24

Applications for the change of Chinese label, box packaging, and user instruction of the product are exempted, if only the following situations are involved:

1. Change of patterns or colors.
2. Proportionate reduction or enlargement of the approved images and texts.
3. Movement of the position of the approved images and texts.

4. Change of the fonts of the approved text.
5. Changes of marks not governed by the Regulations.

For the above modifications, the holder of the permit document shall retain a written record.

Article 25

Where an application for ownership transfer of a registered permit document for formula for certain disease is filed, the transferee is required to submit an application form, along with the following documents or information, to the central competent authority for review, and pay the examination and certificate processing fees:

1. Transferor's certificate or document of consent to transfer of permit document ownership in original copy.
2. In cases of authorized sales, the original certificate of authorized sales issued by the original manufacturer or distributor is required.
3. In cases of entrusted manufacturing, the original certificate of entrusted manufacturing issued by the entrusted manufacturer is required.
4. Original permit document.
5. Two copies each of the physical or color drafts of the Chinese product label, outer box packaging and user instruction; for those who apply for different packaging specifications, forms, and materials, the above items shall be attached separately; if the contents of the user instruction are the same, the user instruction of any specification, form, and materials may be submitted.
6. Where the product will be re-packed in new containers for sale, the applicant shall submit the documents set forth in Article 13 Paragraph 1 Subparagraph 12 Items 1-4 of the Regulations.
7. Photocopy of the table of ingredient content.
8. Photocopy of the applicant's company registration or business registration certificate.

The foregoing documents or information in languages other than English and Chinese must be accompanied with English or Chinese translations provided by a registered translation agency.

Article 26

For applications to re-issue or replace the permit document for formula for certain disease review and registration due to defacement or loss, the applicant is required to submit an application form, along with the following documents or information, to the central competent authority, and pay the related fees:

1. The applicant shall submit the photocopy of the applicant's company registration or business registration certificate.
2. For replacement application, the applicant shall submit the originally issued permit document.
3. For re-issuance application, the applicant shall submit a statement that declares the original permit document null and void.

The new permit document issued under the replacement or re-issuance application as referred in the preceding paragraph shall bear the same expiration date as the original permit document.

Chapter IV

Review and Registration of Food Additives

Article 27

An applicant applying for review and registration of food additives shall submit an application form to the central competent authority with fees paid and the following documents and materials attached:

1. Original table of ingredient content: The table shall be issued by the original manufacturer within the past one year and contain the names and content percentages of all the ingredients.
2. Original product specification: The product specification shall be issued by the original manufacturer within the past one year and contain the specifications of the test requirement such as identification, purity and quantification.
3. Test method : the test method used on the test requirement in the preceding paragraph.
4. The original copy of the certificate of analysis: The analysis shall be issued by the original manufacturer or an entrusted testing institution within the past one year on the requirement specified in the Paragraph 2.
5. Essential information of the manufacturing process of the original manufacturer.

6. The official substantiating documents certifying that the original manufacturer is the legitimate registration manufacturer:
 - (1) Domestic manufacturer: photocopy of the factory registration certificate; or, in case of an exemption from factory registration, the certificate of company or business registration listing the business files of food additive manufacturing, processing, formulation, re-packing, etc., and the certificate issued by the competent authority on the exemption from factory registration
 - (2) Foreign manufacturer: The original official certificate issued by a competent government agency responsible for product hygiene and safety or factory licensing in the country of origin and dated within the past five years, shall be provided. The certificate shall specify the name and address of the manufacturer, its business activities, categories of products, hygienic status of the factory, and full name of the government agency issuing the certificate with official stamp or authorized signature. If the certificate verifying the legitimacy of the original manufacturer is a copy of the original, the document shall be a certified true copy of the original by a notary public in the country of origin.
7. In cases of authorized sales, the original certificate of authorized sales issued by the original manufacturer or distributor is required.
8. In cases of entrusted manufacturing, the original of the entrusted manufacturing certificate issued by the entrusted manufacturer is required.
9. Color photos of the product with the Chinese label, the descriptions of the inner and outer packaging materials, and the labeling on the outer packaging are all clearly identifiable; for applications for different packaging specifications, forms, and materials, color photos to the above effect shall be attached for each one of the specifications, types, and materials; for products imported from overseas, the labels in original foreign languages shall be attached unless the product is not sold in an overseas market.
10. In cases of domestic manufacturers, a copy of the review and registration license for single food additives that are not spices in ingredients; and the certificate of sources from the food industry for other ingredients.
11. A copy of the company registration or business registration certificate of the applicant, in which the business activities, in the case of importers, shall include the import of food additives, and in cases of domestic manufacturers, shall include the food additive manufacture, processing, formulation, re-packing, etc.

12. Documents of hygiene management personnel:

- (1) Domestic manufacturer: photocopy of the certification document of the manufacturer's hygiene management personnel that has been verified and filed by the municipal or county (city) competent authority.
- (2) Foreign manufacturer: The certification documents of the hygiene management personnel of the applicant.

13. Other essential documents.

The foregoing documents or information in languages other than English and Chinese must be accompanied with an English or Chinese translation provided by a registered translation agency.

The permit documents issued on the applications specified in Paragraph 1 and filed and reviewed pursuant to the Regulations shall be valid for five years.

Article 28

Applicants seeking to extend the food additive permit document shall submit an application form within three months prior to the expiry date of permit, along with the following documents, information, to the central competent authority, and shall pay the related fees:

1. Original permit document.
2. In cases of authorized sales, the original certificate of authorized sales issued by the original manufacturer or distributor is required.
3. In cases of entrusted manufacturing, the original certificate of entrusted manufacturing issued by the entrusted manufacturer is required.
4. In cases of domestic manufacturers, a copy of the review and registration license for single food additives that are not spices in ingredients; and the certificate of sources from the food industry for other ingredients.

The foregoing documents or information in languages other than English and Chinese must be accompanied with an English or Chinese translation provided by a registered translation agency.

The permit documents issued on the applications for extension specified in Paragraph 1 and filed and reviewed pursuant to the Regulations shall be valid for five years. If the certificate shall be renewed, a certificate fee shall be paid.

Article 29

Applicants seeking to modify registered items on a permit document for food additives shall submit an application form, along with the following documents and information to the competent authority, and shall pay the related fees:

1. Change of the product name:
 - (1) Original permit document.
 - (2) In cases of imported products, the original document issued by the manufacturer certifying the change of the name of product.
2. Change of packaging specifications, forms, and materials:
 - (1) Original permit document.
 - (2) The original document or consent document issued by the manufacturer certifying the change of packaging.
3. Change of the name, address or person in charge of the manufacturer holding the permit document:
 - (1) Original permit document.
 - (2) A copy of the company registration or business registration certificate of the applicant, in which the business scope, in the case of importers, shall include the import of food additives, and in case of domestic manufacturers, shall include the food additive manufacturing, processing, formulation, re-packing, etc.
 - (3) The complete list of the licensed products of the manufacturer holding the license, specifying the license number, the Chinese name of the product and the validity period of the permit document.
4. Change of the name of the original manufacturer:
 - (1) Original permit document.
 - (2) Domestic manufacturer: A copy of the factory registration certificate.
 - (3) Foreign manufacturer: An original document issued by the manufacturer certifying the change of its name.
 - (4) The complete list of the licensed products of the original manufacturer, specifying the license number, the Chinese name of the product and the validity period of the permit document.
5. Address building number adjustment of the original manufacturer:
 - (1) Original permit document.

- (2) Domestic manufacturer: The applicant shall submit a photocopy of the official certificate issued by a competent government agency certifying the address building number adjustment.
- (3) Foreign manufacturer: The original official certificate issued by a competent government agency certifying the address building number adjustment.
- (4) A complete list of product items of the original manufacturer shall be provided. The list is required to contain the registration numbers, Chinese names of products, and expiry date of the permit document.

The foregoing documents or information in languages other than English and Chinese must be accompanied with an English or Chinese translation provided by a registered translation agency.

In cases of change, moving, or adding of the manufacturer, the application process, documents and information, product samples, and related fees shall mutatis mutandis to the provisions of Article 27.

If it is necessary to renew a new permit document, a certificate processing fee shall be collected.

Article 30

Where an application for ownership transfer of a registered permit document for food additive formula is filed, the transferee is required to submit an application form, along with the following documents or information, to the central competent authority for review, and pay the examination and certificate processing fees:

1. Original permit document.
2. Transferor's certificate or document of consent to transfer of permit document ownership in original copy.
3. In cases of authorized sales, the original certificate of authorized sales issued by the original manufacturer or distributor is required.
4. ~~In cases of entrusted manufacturing, the original of the manufacturing contract.~~
In cases of entrusted manufacturing, the original certificate of entrusted manufacturing issued by the entrusted manufacturer is required.
5. The Chinese product label, the description document of the inner and outer packaging materials, and the color photo of the product with the content of the packaging label clearly identifiable; those who apply for different packaging specifications, forms, and materials, each specification, form, and material shall be attached separately; The importer shall attach the original label.

6. A copy of the table of ingredient content.
7. A photocopy of the applicant's company registration or business registration certificate. For the listing of its business items, the importer shall include the import of food additives; the domestic manufacturer shall include the manufacturing, processing, formulation, and re-packing of food additives.

The foregoing documents or information in languages other than English and Chinese must be accompanied with an English or Chinese translation provided by a registered translation agency.

Article 31

For applications to re-issue or replace the permit document for food additive formula review and registration due to defacement or loss, the applicant is required to submit an application form, along with the following documents or information, to the central competent authority, and pay the related fees:

1. The applicant shall submit the photocopy of the applicant's company registration or business registration certificate.
2. For replacement application, the applicant shall submit the originally issued permit document.
3. For reissuance application, the applicant shall submit a statement that declares the original permit document null and void.

The new permit document issued under the replacement or re-issuance application as referred in the preceding paragraph shall bear the same expiration date as the original permit document.

Chapter V Supplementary Provisions

Article 32

For applications filed in accordance with the provisions in Chapters II to IV of the Regulations, including their uploading and retention, the provisions of Article 3, Paragraph 3 shall apply mutatis mutandis.

The application in the preceding paragraph, except for reissuance due to document loss, shall have the original permit document sent to the central competent authority for registration or cancellation.

Article 33

The Regulations shall take effect immediately upon promulgation.

The Regulations amended and promulgated on _____, 20_____, shall take effect immediately upon promulgation, except that Article 13 Paragraph 1 Subparagraph 3, Article 15 Paragraph 2 Subparagraph 5 Item 2, Article 20 Paragraph 1 Subparagraph 3, Article 22 Paragraph 1 Subparagraph 7, Article 23 Paragraph 2 Subparagraph 5 Item 2, which provide original hygiene analysis report related provisions, and Article 14 Paragraph 1 Subparagraphs 7 and 8, and Article 22 Paragraph 1 Subparagraph 6 shall take effect one year after the date of promulgation.

Schedule 1

(1) Energy and Nutritional Value for Infant Formula

Item	Unit of Measurement	Lower limit	Upper limit
Energy	Kcal/100ml	60	70
Protein	g/100 kcal	1.8	3
Fat	g/100 kcal	4.4	6
Linoleic acid	mg/100 kcal	300	-
Alpha-linolenic acid	mg/100 kcal	50	-
Carbohydrate	g/100 kcal	9	14
Vitamin A	µg RE/100 kcal	60	180
Vitamin D ₃	µg/100 kcal	1	2.5
Vitamin E	mg α-TE/100 kcal	0.5	-
Vitamin K	µg/100 kcal	4	-
Vitamin B ₁	µg/100 kcal	60	-
Vitamin B ₂	µg/100 kcal	80	-
Niacin	mg/100 kcal	0.3	-
Vitamin B ₆	µg/100 kcal	35	-
Vitamin B ₁₂	µg/100 kcal	0.1	-
Pantothenic acid	mg/100 kcal	0.4	-
Folic acid	µg/100 kcal	10	-
Vitamin C	mg/100 kcal	10	-
Biotin	µg/100 kcal	1.5	-
Iron	mg/100 kcal	0.45	-
Calcium	mg/100 kcal	50	-
Phosphorus	mg/100 kcal	25	-
Magnesium	mg/100 kcal	5	-
Sodium	mg/100 kcal	20	60
Chlorine	mg/100 kcal	50	160
Potassium	mg/100 kcal	60	180
Manganese	µg/100 kcal	1	-
Iodine	µg/100 kcal	10	-
Selenium	µg/100 kcal	1	-
Copper	µg/100 kcal	35	-
Zinc	mg/100 kcal	0.5	-
Choline	mg/100 kcal	7	-
Inositol	mg/100 kcal	4	-
L-carnitine	mg/100 kcal	1.2	-

Notes:

1. The permissible margin of error of the values of energy and nutrition label in infant formula shall fall within the range between the upper limit and the lower limit specified in (1) of this Schedule.
2. The content of trans fat (acid) shall not exceed 3% of fat.
3. The ratio of linoleic acid to α -linolenic acid shall be between 5 and 15.
4. The ratio of calcium to phosphorus shall be between 1 and 2.
5. RE indicates "Retinol Equivalent".
6. α -TE indicates " α -Tocopherol Equivalent".
7. Niacin refers to nicotinic acid and nicotinamide.
8. Retinol contents shall be provided by preformed retinol, while any contents of carotenoids should ~~shall~~ not be included in the calculation and declaration of vitamin A activity.
9. The composition of infant formula for special medical purposes shall comply with the provisions in (1) of this Schedule, except for adjustments based on empirical evidence to cope with diseases, disorders or other medical conditions; nutrients like chromium and molybdenum may be taken in consideration based on the following regulations:

Item	Unit of Measurement	Lower limit	Upper limit
Chromium	$\mu\text{g}/100 \text{ kcal}$	1.5	-
Molybdenum	$\mu\text{g}/100 \text{ kcal}$	1.5	-

(2) Energy and Nutritional Value for Follow-up Infant Formula

Item	Unit of Measurement	Lower limit	Upper limit
Energy	Kcal/100ml	60	85
Protein	g/100 kcal	1.8	4.5
Fat	g/100 kcal	3	6
Linoleic acid	mg/100 kcal	300	-
Vitamin A	µg RE/100 kcal	75	225
Vitamin D	µg/100 kcal	1	3
Vitamin E	mg α-TE/100 kcal	0.5	-
Vitamin K ₁	µg/100 kcal	4	-
Vitamin B ₁	µg/100 kcal	40	-
Vitamin B ₂	µg/100 kcal	60	-
Niacin	mg/100 kcal	0.25	-
Vitamin B ₆	µg/100 kcal	45	-
Vitamin B ₁₂	µg/100 kcal	0.15	-
Pantothenic acid	mg/100 kcal	0.3	-
Folic acid	µg/100 kcal	4	-
Vitamin C	mg/100 kcal	8	-
Biotin	µg/100 kcal	1.5	-
Iron	mg/100 kcal	1	2
Calcium	mg/100 kcal	90	-
Phosphorus	mg/100 kcal	60	-
Magnesium	mg/100 kcal	6	-
Sodium	mg/100 kcal	20	85
Chlorine	mg/100 kcal	55	-
Potassium	mg/100 kcal	80	-
Iodine	µg/100 kcal	5	-
Zinc	mg/100 kcal	0.5	-

Notes:

1. The permissible margin of error of the values of energy and nutrition label in follow-up infant formula shall fall within the range between the upper limit and the lower limit specified in (2) of this Schedule.
2. Reference may be made to the limits specified for infant formula in cases of nutrients with no upper limit specified in the Schedule.
3. Each gram of protein shall contain no less than 0.015 mg of vitamin B₆.
4. The ratio of calcium to phosphorus shall be between 1.2 and 2.
5. RE indicates "Retinol Equivalent".
6. α-TE indicates "α-Tocopherol Equivalent".
7. Niacin refers to nicotinic acid and nicotinamide.

Schedule 2

Nutritional Value for a Nutritionally Complete Food with Balanced Formula

Nutrient	Unit of Measurement	Per 100 Kcal	Suggested daily intake
		Lower Limit	Upper Limit
Protein	Percentage value	10	25
Fat	Percentage value	20	35
Carbohydrate	Percentage value	45	65
Vitamin A	µg RE	27.5	3000
Vitamin D	µg	0.5	50
Vitamin E	mg α-TE	0.6	1000
Vitamin K	µg	5.25	-
Vitamin C	mg	5	2000
Vitamin B ₁	mg	0.053	-
Vitamin B ₂	mg	0.06	-
Vitamin B ₆	mg	0.075	80
Vitamin B ₁₂	µg	0.12	-
Niacin	mg NE	0.75	35
Choline	mg	21	3500
Pantothenic acid	mg	0.25	-
Folic acid	µg	20	1000
Biotin	µg	1.5	-
Calcium	mg	50	2500
Phosphorus	mg	40	3000
Magnesium	mg	16.5	700
Zinc	mg	0.675	35
Iron	mg	0.5	40
Iodine	µg	7	1000
Selenium	µg	2.75	400
Fluorine	mg	-	10

Notes:

1. The permissible margin of error of the values of nutrition label shall fall within the range between the upper limit and the lower limit specified in this Schedule.
2. RE indicates "Retinol Equivalent".
3. α-TE indicates "α-Tocopherol Equivalent".
4. NE indicates "Niacin Equivalent".
5. The maximum value for niacin from nicotinamide is 100 mg NE/1500 Kcal.
6. Copper, manganese, chromium, molybdenum, sodium, potassium, and chloride may be added to the product as nutrients in a proper amount pursuant to the provisions of the Standards for Specification, Scope, Application and Limitation of Food Additive.